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**PATENT APPLICATION OF**

**Endong Xun, Ming Zhou and Chang-Ning Huang**

**ENTITLED**

**SYSTEM AND METHOD FOR IDENTIFYING  
BASE NOUN PHRASES**

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09/840,772

# SYSTEM AND METHOD FOR IDENTIFYING BASE NOUN PHRASES

## RELATED APPLICATIONS

This application is a divisional of U.S. Patent Application serial number 09/840,772, entitled COMPUTER-AIDED READING SYSTEM AND METHOD WITH CROSS-LANGUAGE READING WIZARD, filed on April 23, 2001.

## TECHNICAL FIELD

The present invention relates to natural language processing. More particularly, the present invention relates to identifying base noun phrases (baseNP).

## BACKGROUND OF THE INVENTION

With the rapid development of the Internet, computer users all over the world are becoming increasingly more exposed to writings that are penned in non-native languages. Many users are entirely unfamiliar with non-native languages. Even for a user who has some training in a non-native language, it is often difficult for that user to read and comprehend the non-native language.

Consider the plight of a Chinese user who accesses web pages or other electronic documents written in English. The Chinese user may have had some formal training in English during school, but such training is often insufficient to enable them to fully read and comprehend certain words, phrases, or sentences written







algorithm to develop a set of transformation rules, and using those rules to locally update the bracket positions identifying baseNP. Other methods introduced a memory-based sequence learning method in which training examples are stored and a generalization is performed at run time by comparing the sequence provided in the new text to positive and negative evidence developed by the generalizations. Yet another approach is an error driven pruning approach that extracts baseNP rules from the training corpus and prunes a number of bad baseNP identifications by incremental training and then applies the pruned rules to identify baseNPs through maximum length matching (or dynamic programming algorithms).

Some of these prior approaches assigned scores to each of a number of possible baseNP structures. Still others dealt with identifying baseNP on a deterministic and local level. However, none of these approaches considered any lexical information in identifying baseNP. See, for example, Lance A. Ramshaw, Michael P. Markus (In press), Text Chunking Using Transformation-Based Learning: Natural Language Processing Using Very Large Corpora., Kluwer, The Second Workshop on Very Large Corpora. WVLC'95, pp. 82-94; Cardie and D. Pierce, Error-Driven Pruning of Treebank Grammars for BaseNP Identification, Proceedings of the 36th International Conference on Computational Linguistics, pp. 218-224, 1998 (COLING-ACL'98); and S. Argamon, I. Dagan and Y. Krymolowski, A Memory-Based Approach to Learning Shallow Language Patterns, Proceedings of the 17th International Conference on Computational Linguistics, pp. 67-73 (COLING-ACL'98).

In addition, it can be seen from the example sentence illustrated above that, prior to identifying baseNPs, part-



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of-speech (POS) tagging must be preformed. The prior techniques for identifying baseNP treated the POS tagging and baseNP identification as two separate procedures. The prior techniques identified a best estimate of the POS tag sequence corresponding to the natural language input. Only the best estimate was provided to the baseNP identification component. However, the best estimate of the POS tag sequence may not be the actual POS tag sequence which corresponds to the natural language input. This type of system leads to disadvantages. For example, using the result of the first step (POS tagging) as if it were certain and providing it to the second step (baseNP identification) leads to more errors in identifying baseNP.

#### **SUMMARY OF THE INVENTION**

A system and method identify base noun phrases (baseNP) in a linguistic input. A part-of-speech tagger identifies N-best part-of-speech tag sequences corresponding to the linguistic input. A baseNP identifier identifies baseNPs in the linguistic input using a unified statistical model that identifies the baseNPs, given the N-best POS sequences. In one illustrative embodiment, the unified statistical model considers a position of the POS tags with respect to words identified as baseNPs in the baseNP sequence.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 is a block diagram of a computer system that implements a reading system with a cross-language reading wizard.



1 Fig. 2 is a block diagram of an exemplary shallow  
2 parser in accordance with one embodiment.

3 Fig. 3 is a diagram that is useful in  
4 understanding processing that takes place in accordance  
5 with one embodiment.

6 Fig. 4 is a diagram that is useful in  
7 understanding the Fig. 3 diagram.

8 Fig. 5 is a flow diagram that describes steps in a  
9 method in accordance with one embodiment.

10 Fig. 6 is a diagram that is useful in  
11 understanding processing that takes place in accordance  
12 with one embodiment.

13 Fig. 7 is a flow diagram that describes steps in a  
14 method in accordance with one embodiment.

15 Fig. 8 is a block diagram of an exemplary  
16 translation generator in accordance with one  
17 embodiment.

18 Figs. 9-13 show various exemplary user interfaces  
19 in accordance with one embodiment.

## 20 DETAILED DESCRIPTION

### 21 Overview

22 A computer-aided reading system helps a user read  
23 a non-native language. For discussion purposes, the  
24 computer-aided reading system is described in the  
25 general context of browser programs executed by a  
general-purpose computer. However, the computer-aided  
reading system may be implemented in many different  
environments other than browsing (e.g., email systems,



word processing, etc.) and may be practiced on many diverse types of devices.

The embodiments described below can permit users who are more comfortable communicating in a native language, to extensively read non-native language electronic documents quickly, conveniently, and in a manner that promotes focus and rapid assimilation of the subject matter. User convenience can be enhanced by providing a user interface with a translation window closely adjacent the text being translated. The translation window contains a translation of the translated text. By positioning the translation window closely adjacent the translated text, the user's eyes are not required to move very far to ascertain the translated text. This, in turn, reduces user-perceptible distraction that might otherwise persist if, for example, the user were required to glance a distance away in order to view the translated text.

User interaction is further enhanced, in some embodiments, by virtue of a mouse point translation process. A user is able, by positioning a mouse to select a portion of text, to quickly make their selection, whereupon the system automatically performs a translation and presents translated text to the user.

### **Exemplary System Architecture**

Fig. 1 shows an exemplary computer system 100 having a central processing unit (CPU) 102, a memory 104, and an input/output (I/O) interface 106. The CPU



102 communicates with the memory 104 and I/O interface 106. The memory 104 is representative of both volatile memory (e.g., RAM) and non-volatile memory (e.g., ROM, hard disk, etc.). Programs, data, files, and may be stored in memory 104 and executed on the CPU 102.

The computer system 100 has one or more peripheral devices connected via the I/O interface 106. Exemplary peripheral devices include a mouse 110, a keyboard 112 (e.g., an alphanumeric QWERTY keyboard, a phonetic keyboard, etc.), a display monitor 114, a printer 116, a peripheral storage device 118, and a microphone 120. The computer system may be implemented, for example, as a general-purpose computer. Accordingly, the computer system 100 implements a computer operating system (not shown) that is stored in memory 104 and executed on the CPU 102. The operating system is preferably a multi-tasking operating system that supports a windowing environment. An example of a suitable operating system is a Windows brand operating system from Microsoft Corporation.

It is noted that other computer system configurations may be used, such as hand-held devices, multiprocessor systems, microprocessor-based or programmable consumer electronics, network PCs, minicomputers, mainframe computers, and the like. In addition, although a standalone computer is illustrated in Fig. 1, the language input system may be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked



through a communications network (e.g., LAN, Internet, etc.). In a distributed computing environment, program modules may be located in both local and remote memory storage devices.

### Exemplary Reading System

The computer system 100 implements a reading system 130 that assists users in reading non-native languages. The reading system can provide help at the word, phrase, or sentence level. The reading system is implemented in Fig. 1 as a browser program 132 stored in memory 104 and executed on CPU 102. It is to be appreciated and understood that the reading system described below can be implemented in contexts other than browser contexts.

The reading system 130 has a user interface 134 and a cross-language reading wizard 136. The UI 134 exposes the cross-language reading wizard 136. The browser program 132 may include other components in addition to the reading system, but such components are considered standard to browser programs and will not be shown or described in detail.

The reading wizard 136 includes a shallow parser 140, a statistical word translation selector 142, and a translation generator 144.



### Exemplary Shallow Parser

1       The shallow parser 140 parses phrases or sentences  
2 of the selected non-native text into individual  
3 translation units (e.g., phrases, words).

4       Fig. 2 shows shallow parser 140 in a little more  
5 detail in accordance with one embodiment. The shallow  
6 parser can be implemented in any suitable hardware,  
7 software, firmware or combination thereof. In the  
8 illustrated and described embodiment, the shallow  
9 parser is implemented in software.

10       As shown, shallow parser 140 comprises a word  
11 segment module 200, a morphological analyzer 202, a  
12 part-of-speech (POS) tagging/base noun phrase  
13 identification module 204, a phrase extension module  
14 206, and a pattern or template matching module 208.  
15 Although these components are shown as individual  
16 components, it should be appreciated and understood  
17 that the components can be combined with one another or  
18 with other components.

19       In accordance with the described embodiment,  
20 shallow parser 140 segments words in text that has been  
21 selected by a user. It does this using word segment  
22 module 200. The shallow parser then uses morphological  
23 analyzer 202 to morphologically process the words to  
24 obtain the morphological root of each word. The  
25 morphological analyzer can apply various morphological  
rules to the words in order to find the morphological  
root of each word. The rules that morphological  
analyzer 202 uses can be developed by a person skilled



1 in the particular language being analyzed. For  
2 example, one rule in English is that the morphological  
3 root of words that end in "ed" is formed by either  
4 removing the "d" or the "ed".

5 The shallow parser 140 employs part-of-speech  
6 (POS) tagging/base noun phrase (baseNP) identification  
7 module 204 to characterize the words and phrases for  
8 further translation selection. The POS tagging and  
9 baseNP identification can be performed, for example, by  
10 a statistical model, an example of which is described  
11 below in a section entitled "POS tagging and baseNP  
12 Identification" just below. The shallow parser 140  
13 uses phrase extension module 206 to apply rule-based  
14 phrase extension to the words characterized by POS  
15 tagging/base noun phrase identification module 204.  
16 One goal of the phrase extension module is to extend a  
17 base noun phrase to a more complex noun phrase. For  
18 example, "baseNP of baseNP" is the more complex noun  
19 phrase of the "baseNP" phrase. The shallow parser 140  
20 also uses patterning or template matching module 208 to  
21 generate tree lists. The patterning or template  
22 matching module is used for translation and recognizes  
23 that some phrase translation is pattern dependent, and  
24 is not directly related to the words in the phrases.  
25 For example, the phrase "be interested in baseNP"  
contains a pattern (i.e. "baseNP") that is used to form  
a more complex translation unit for translation. The  
words "be interested in" are not directly related to



the pattern that is used to form the more complex translation unit.

### POS Tagging and BaseNP Identification

The following discussion describes a statistical model for automatic identification of English baseNP (Noun Phrase) and constitutes but one way of processing selected text so that a tree list can be generated. The described approach uses two steps: the N-best Part-Of-Speech (POS) tagging and baseNP identification given the N-best POS-sequences. The described model also integrates lexical information. Finally, a Viterbi algorithm is applied to make a global search in the entire sentence which permits a linear complexity for the entire process to be obtained.

#### The Statistical Approach

In this section, the two-pass statistical model, parameters training and the Viterbi algorithm for the search of the best sequences of POS tagging and baseNP identification are described. Before describing the algorithm, some notations that are used throughout are introduced.

Let us express an input sentence  $E$  as a word sequence and a sequence of POS respectively as follows:

$$E = w_1 \ w_2 \ \dots \ w_{n-1} \ w_n$$

$$T = t_1 \ t_2 \ \dots \ t_{n-1} \ t_n$$



where  $n$  is the number of words in the sentence,  $t_i$  is the POS tag of the word  $w_i$ .

Given  $E$ , the result of the baseNP identification is assumed to be a sequence, in which some words are grouped into baseNP as follows

$$\dots w_{i-1} \quad [w_i \quad w_{i+1} \quad \dots w_j] \quad w_{j+1} \dots$$

The corresponding tag sequence is as follows:

$$(a) \quad B = \dots t_{i-1} \quad [t_i \quad t_{i+1} \quad \dots t_j] \quad t_{j+1} \dots = \dots t_{i-1} \quad b_{i,j} \quad t_{j+1} \dots = n_1 \quad n_2 \quad \dots \quad n_m$$

in which  $b_{i,j}$  corresponds to the tag sequence of a baseNP:  $[t_i \quad t_{i+1} \dots t_j]$ .  $b_{i,j}$  may also be thought of as a baseNP rule. Therefore  $B$  is a sequence of both POS tags and baseNP rules. Thus  $1 \leq m \leq n$ ,  $n_i \in (\text{POS tag set} \cup \text{baseNP rules set})$ . This is the first expression of a sentence with baseNP annotated. Sometimes, we also use the following equivalent form:

$$(b) \quad Q = \dots (t_{i-1}, bm_{i-1}) \quad (t_i, bm_i) \quad (t_{i+1}, bm_{i+1}) \dots (t_j, bm_j) \quad (t_{j+1}, bm_{j+1}) \dots = q_1 \quad q_2 \dots q_n$$

where each POS tag  $t_i$  is associated with its positional information  $bm_i$  with respect to baseNPs. The positional information is one of  $\{F, I, E, O, S\}$ .  $F$ ,  $E$  and  $I$  mean respectively that the word is the left boundary, right boundary of a baseNP, or at another position inside a baseNP.  $O$  means that the word is outside a baseNP.  $S$  marks a single word baseNP.

For example, the two expressions of the example sentence given in the background section above are as follows:



(a)  $B = [NNS] \text{ IN } [VBG \text{ NN}] \text{ VBD RBR IN } [DT \text{ JJ NNS}]$

(b)  $Q = (NNS \text{ S}) \text{ (IN O)} \text{ (VBG F)} \text{ (NN E)} \text{ (VBD O)} \text{ (RBR O)} \text{ (IN O)} \text{ (DT F)} \text{ (JJ I)} \text{ (NNS E)} \text{ (. O)}$

#### An 'integrated' two-pass procedure

The principle of the described approach is as follows. The most probable baseNP sequence  $B^*$  may be expressed generally as follows:

$$B^* = \underset{B}{\operatorname{argmax}} (p(B|E))$$

Where  $p(B|E)$  represents the probability of the sequence of POS tags and baseNP rules (B) given the English sentence E.

We separate the whole procedure into two passes, i.e.:

$$B^* \approx \underset{B}{\operatorname{argmax}} (P(T|E) \times P(B|T, E)) \quad (1)$$

Where  $P(T|E)$  represents the probability of a POS tag sequence T, given the input sentence E;

$P(B|T, E)$  represents the probability of the sequence B, given the POS tag sequence T and the input sentence E.

In order to reduce the search space and computational complexity, we only consider the N best POS tagging of E, i.e.

$$T(N\text{-best}) = \underset{T=T_1, \dots, T_N}{\operatorname{argmax}} (P(T|E))$$

(2)

Therefore, we have:



$$B^* \approx \underset{B, T=T_1, \dots, T_N}{\operatorname{argmax}} (P(T|E) \times P(B|T, E))$$

(3)

Correspondingly, the algorithm is composed of two steps: determining the N-best POS tagging using Equation (2), and then determining the best baseNP sequence from those POS sequences using Equation (3). The two steps are integrated together, rather than separated as in other approaches. Let us now examine the two steps more closely.

#### Determining the N best POS sequences

The goal of the algorithm in the first pass is to search for the N-best POS-sequences within the search space (POS lattice). According to Bayes' Rule, we have

$$P(T|E) = \frac{P(E|T) \times P(T)}{P(E)}$$

Since  $P(E)$  does not affect the maximizing procedure of  $P(T|E)$ , equation (2) becomes

$$T(N\text{-best}) = \underset{T=T_1, \dots, T_N}{\operatorname{argmax}} (P(T|E)) = \underset{T=T_1, \dots, T_N}{\operatorname{argmax}} (P(E|T) \times P(T)) \quad (4)$$

We now assume that the words in E are independent. Thus

$$P(E|T) \approx \prod_{i=1}^n P(w_i | t_i) \quad (5)$$



We then use a trigram model as an approximation of  $P(T)$ , i.e.:

$$P(T) \approx \prod_{i=1}^n P(t_i | t_{i-2}, t_{i-1}) \quad (6)$$

Finally we have

$$T(N-best) = \underset{T=T_1, \dots, T_N}{\operatorname{argmax}} (P(T|E)) = \underset{T=T_1, \dots, T_N}{\operatorname{argmax}} \left( \prod_{i=1}^n P(w_i | t_i) \times P(t_i | t_{i-2}, t_{i-1}) \right) \quad (7)$$

This model thus outputs the N-best POS tag sequences for the given natural language input.

In the Viterbi algorithm of the N best search,  $P(w_i | t_i)$  is called the lexical generation (or output) probability, and  $P(t_i | t_{i-2}, t_{i-1})$  is called the transition probability in the Hidden Markov Model. The Viterbi algorithm is described in Viterbi, *Error Bounds for Convolution Codes and Asymptotically Optimum Decoding Algorithm*, IEEE Transactions on Information Theory IT-13(2): pp.260-269, April, 1967.

#### Determining the baseNPs

As mentioned before, the goal of the second pass is to search the best baseNP-sequence given the N-best POS-sequences.

Considering  $E, T$  and  $B$  as random variables, according to Bayes' Rule, we have



$$P(B|T, E) = \frac{P(B|T) \times P(E|B, T)}{P(E|T)}$$

Since  $P(B|T) = \frac{P(T|B) \times P(B)}{P(T)}$  we have,

$$P(B|T, E) = \frac{P(E|B, T) \times P(T|B) \times P(B)}{P(E|T) \times P(T)} \quad (8)$$

Because we search for the best baseNP sequence for each possible POS-sequence of the given sentence  $E$ ,  $P(E|T) \times P(T) = P(E \cap T) = \text{const}$ . Furthermore, from the definition of  $B$ , during each search procedure, we have

$P(T|B) = \prod_{i=1}^n P(t_i, \dots, t_j | b_{i,j}) = 1$ . Therefore, equation (3) becomes

$$\begin{aligned} B^* &= \underset{B, T=T_1, \dots, T_N}{\operatorname{argmax}} (P(T|E) \times P(B|T, E)) \\ &= \underset{B, T=T_1, \dots, T_N}{\operatorname{argmax}} (P(T|E) \times P(E|B, T) \times P(B)) \end{aligned} \quad (9)$$

using the independence assumption, we have

$$P(E|B, T) \approx \prod_{i=1}^n P(w_i | t_i, b m_i). \quad (10)$$

With trigram approximation of  $P(B)$ , we have:

$$P(B) \approx \prod_{i=1}^m P(n_i | n_{i-2}, n_{i-1}) \quad (11)$$

Finally, we obtain



$$B^* = \arg \max_{B, T=T_1 \dots T_N} (P(T|E) \times \prod_{i=1}^n P(w_i | bm_i, t_i) \times \prod_{i=1, m} P(n_i | n_{i-2}, n_{i-1})) \quad (12)$$

It should be noted that the unified statistical model illustrated by equation 12 not only determines a likely baseNP given all of the N-best possible POS tag sequences corresponding to the natural language input  $E$ , but the second term and equation 12 utilizes lexical information to do this. In other words, the second term on the right side of equation 12 takes into account the position of the present POS tag with respect to identified baseNP.

To summarize, in the first step, the Viterbi N-best searching algorithm is applied in the POS tagging procedure and determines a path probability  $f_i$  for each POS sequence calculated as follows:

$$f_i = \prod_{i=1, n} p(w_i | t_i) \times p(t_i | t_{i-2}, t_{i-1}) \quad (\text{which corresponds to the first}$$

term in equation 12). In the second step, for each possible POS tagging result, the Viterbi algorithm is applied again to search for the best baseNP sequence. Every baseNP sequence found in this pass is also associated with a path probability

$$f_b = \prod_{i=1}^n p(w_i | t_i, bm_i) \times \prod_{i=1, m} p(n_i | n_{i-2}, n_{i-1}). \quad \text{The integrated}$$

probability of a baseNP sequence is determined by  $f_i^\alpha \times f_b$ , where  $\alpha$  is a normalization coefficient ( $\alpha = 2.4$  in our experiments). When we determine the best baseNP sequence for the given sentence  $E$ , we also determine the best POS sequence of  $E$ , since it is that POS sequence that corresponds to the best baseNP of  $E$ .



As an example of how this can work, consider the following text: "stock was down 9.1 points yesterday morning." In the first pass, one of the N-best POS tagging results of the sentence is: T = NN VBD RB CD NNS NN NN.

For this POS sequence, the second pass will try to determine the baseNPs as shown in Fig. 3. The details of the path in the dashed line are given in Fig 4. Its probability calculated in the second pass is as follows ( $\Phi$  is pseudo variable used where no previous context information is available for a given term):

$$\begin{aligned}
 P(B|T,E) = & p(\text{stock} | NN, S) \times p(\text{was} | VBD, O) \times p(\text{down} | RB, O) \times p(\text{NUMBER} | CD, B) \\
 & \times p(\text{point s} | NNS, E) \times p(\text{yesterday} | NN, B) \times p(\text{morning} | NN, E) \times p(. | ., O) \\
 & \times p([NN] | \Phi, \Phi) \times p(VBD | \Phi, [NN]) \times p(RB | [NN], VBD) \times p([CD \ NNS] | VBD, RB) \\
 & \times p([NN \ NN] | RB, [CD \ NNS]) \times p(. | [CD \ NNS], [NN \ NN])
 \end{aligned}$$

### The Statistical Parameter Training

While the specific statistical parameter training methods do not form part of the invention, they are described herein simply for the sake of completeness.

In this work, the training and testing data were derived from the 25 sections of Penn Treebank. We divided the whole Penn Treebank data into two sections, one for training and the other for testing.

In our statistical model, we calculate the following four probabilities: (1)  $P(t_i | t_{i-2}, t_{i-1})$ , (2)  $P(w_i | t_i)$ , (3)  $P(n_i | n_{i-2} n_{i-1})$  and (4)  $P(w_i | t_i, bm_i)$ . The first and the third



parameters are trigrams of  $T$  and  $B$  respectively. The second and the fourth are lexical generation probabilities. Probabilities (1) and (2) can be calculated from POS tagged data with following formulae:

$$p(t_i | t_{i-2}, t_{i-1}) = \frac{\text{count}(t_{i-2}t_{i-1}t_i)}{\sum_j \text{count}(t_{i-2}t_{i-1}t_j)} \quad (13)$$

$$p(w_i | t_i) = \frac{\text{count}(w_i \text{ with tag } t_i)}{\text{count}(t_i)} \quad (14)$$

As each sentence in the training set has both POS tags and baseNP boundary tags, it can be converted to the two sequences as  $B$  (a) and  $Q$  (b) described in the last section. Using these sequences, parameters (3) and (4) can be calculated with calculation formulas that are similar to equations (13) and (14) respectively.

Before training trigram model (3), all possible baseNP rules should be extracted from the training corpus. For instance, the following three sequences are among the baseNP rules extracted.

(1) *DT CD CD NNPS*  
 (2) *RB JJ NNS NNS*  
 (3) *NN NN POS NN*

... ..

There are more than 6,000 baseNP rules in the Penn Treebank. When training trigram model (3), we treat those baseNP rules in two ways. First, each baseNP rule



is assigned a unique identifier (UID). This means that the algorithm considers the corresponding structure of each baseNP rule. Second, all of those rules are assigned to the same identifier (SID). In this case, those rules are grouped into the same class. Nevertheless, the identifiers of baseNP rules are still different from the identifiers assigned to POS tags.

For parameter smoothing, an approach was used as described in Katz, *Estimation of Probabilities from Sparse Data for Language Model Component of Speech Recognize*, IEEE Transactions on Acoustics, Speech, and Signal Processing, Volume ASSP-35, pp. 400-401, March 1987. A trigram model was built to predict the probabilities of parameter (1) and (3). In the case that unknown words are encountered during baseNP identification, a parameters (2) and (4) are calculated in the following way:

$$p(w_i | bm_i, t_i) = \frac{\text{count}(bm_i, t_i)}{\max_j (\text{count}(bm_j, t_i))^2} \quad (15)$$

$$p(w_i | t_i) = \frac{\text{count}(t_i)}{\max_j (\text{count}(t_j))^2} \quad (16)$$

Here,  $bm_j$  indicates all possible baseNP labels attached to  $t_i$ , and  $t_j$  is a POS tag guessed for the unknown word  $w_i$ .

Fig. 5 is a flow diagram that describes steps in a method in accordance with one embodiment. The steps can be implemented in any suitable hardware, software, firmware or combination thereof. In the illustrated



example, the steps are implemented in software. One particular embodiment of such software can be found in the above-mentioned cross-language writing wizard 136 which forms part of browser program 132 (Fig. 1). More specifically, the method about to be described can be implemented by a shallow parser such as the one shown and described in Fig. 2.

Step 500 receives selected text. This step is implemented in connection with a user selecting a portion of text that is to be translated. Typically, a user selects text by using an input device such as a mouse and the like. Step 502 segments words in the selected text. Any suitable segmentation processing can be performed as will be appreciated by those of skill in the art. Step 504 obtains the morphological root of each word. In the illustrated and described embodiment, this step is implemented by a morphological analyzer such as the one shown in Fig. 2. In the illustrated example, the morphological analyzer is configured to process words that are written in English. It is to be appreciated and understood, however, that any suitable language can provide a foundation upon which a morphological analyzer can be built.

Step 506 characterizes the words using part-of-speech (POS) tagging and base noun phrase identification. Any suitable techniques can be utilized. One exemplary technique is described in detail in the "POS Tagging and BaseNP Identification"



section above. Step 508 applies rules-based phrase extension and pattern matching to the characterized words to generate a tree list. In the above example, this step was implemented using a phrase extension module 206 and a pattern or template matching module 208. Step 510 outputs the tree list for further processing.

As an example of a tree list, consider Fig. 6. There, the sentence "The Natural Language Computing Group at Microsoft Research China is exploring research in advanced natural language technologies" has been processed as described above. Specifically, the tree list illustrates the individual words of the sentence having been segmented, morphologically processed, and characterized using the POS tagging and baseNP techniques described above. For example, consider element 600. There, the word "Natural" has been segmented from the sentence and from a parent element "natural language". Element 600 has also been characterized with the POS tag "JJ". Other elements in the tree have been similarly processed.

#### Exemplary Word Translation Selector

The word translation selector 142 receives the tree lists and generates all possible translation patterns. The selector 142 translates the parsed translation units using a statistical translation and language models to derive top candidate word



translations in the native text. The top candidate translations are output.

Fig. 7 is a flow diagram that describes steps in a method in accordance with one embodiment. The method can be implemented in any suitable hardware, software, firmware or combination thereof. In the illustrated and described embodiment, the method is implemented in software. One embodiment of such software can comprise word translation selector 142 (Fig. 1).

Step 700 receives a tree list that has been produced according to the processing described above. Step 702 generates translation patterns from the tree list. In one embodiment, all possible translation patterns are generated. For example, for English to Chinese translation, the English noun phrase "NP1 of NP2" may have two kinds of possible translations: (1)  $T(NP1) + T(NP2)$ , and (2)  $T(NP2) + T(NP1)$ . In the phrase translation, the translated phrase is a syntax tree and, in one embodiment, all possible translation orders are considered. Step 704 translates parsed translation units using a translation model and language model. The translation units can comprise words and phrases. Step 704 then outputs the top N candidate word translations. The top N candidate word translations can be selected using statistical models.

#### Exemplary Translation Generator

The translation generator 144 translates the top N candidate word translations to corresponding phrases in



1 the native language. The native words and phrases are  
2 then presented via the UI in proximity to the selected  
3 text.

4 Fig. 8 shows translation generator 144 in a little  
5 more detail in accordance with one embodiment. To  
6 translate the top candidate words, the translation  
7 generator can draw upon a number of different  
8 resources. For example, the translation generator can  
9 include a dictionary module 800 that it uses in the  
10 translation process. The dictionary module 800 can  
11 include a word dictionary, phrase dictionary, irregular  
12 morphology dictionary or any other dictionaries that  
13 can typically be used in natural language translation  
14 processing, as will be apparent to those of skill in  
15 the art. The operation and functions of such  
16 dictionaries will be understood by those of skill in  
17 the art and, for the sake of brevity, are not described  
18 here in additional detail.

19 Translation generator 144 can include a template  
20 module 802 that contains multiple templates that are  
21 used in the translation processing. Any suitable  
22 templates can be utilized. For example, so-called  
23 large phrase templates can be utilized to assist in the  
24 translation process. The operation of templates for  
25 use in natural language translation is known and is not  
described here in additional detail.

The translation generator 144 can include a rules  
module 804 that contains multiple rules that are used  
to facilitate the translation process. Rules can be



1 hand-drafted rules that are drafted by individuals who  
2 are skilled in the specific languages that are the  
3 subject of the translation. Rules can be drafted to  
4 address issues pertaining to statistical errors in  
5 translation, parsing, translation patterns. The  
6 principles of rules-based translations will be  
understood by those of skill in the art.

7 Translation generator 144 can include one or more  
8 statistical models 806 that are used in the translation  
9 process. The statistical models that can be used can  
10 vary widely, especially given the number of possible  
11 non-native and native languages relative to which  
12 translation is desired. The statistical models can be  
13 based on the above-described POS and baseNP statistical  
14 parameters. In a specific implementation where it is  
15 desired to translate from English to Chinese, the  
16 following models can be used: Chinese Trigram Language  
Model and the Chinese Mutual Information Model. Other  
models can, of course, be used.

17 The above-described modules and models can be used  
18 separately or in various combinations with one another.

19 At this point in the processing, a user has  
20 selected a portion of non-native language text that is  
21 to be translated into a native language. The selected  
22 text has been processed as described above. In the  
23 discussion that is provided just below, methods and  
24 systems are described that present the translated text  
25 to the user in a manner that is convenient and  
efficient for the user.



## Reading Wizard User Interface

The remaining discussion is directed to features of the user interface 134 when presenting the reading wizard. In particular, the reading wizard user interface 134 permits the user to select text written in a non-native language that the user is unsure how to read and interpret. The selection may be an individual word, phrase, or sentence.

Figs. 9-13 show exemplary reading wizard user interfaces implemented as graphical UIs (GUIs) that are presented to the user as part of a browser program or other computer-aided reading system. The illustrated examples show a reading system designed to assist a Chinese user when reading English text. The English text is displayed in the window. A user can select portions of the English text. In response to user selection, the reading wizard translates the selection into Chinese text and presents the Chinese text in a pop-up translation window or scrollable box.

Fig. 9 shows a user interface 900 that includes a portion of "non-native" text that has been highlighted. The highlighted text is displayed in a first area of the user interface. A second area of the user interface in the form of translation window 902 is configured to display translated portions of at least some of the text in a native language. The highlighted text, in this example, comprises the phrase "research in advanced natural language technologies". In this



1 example, a user has highlighted the word "advanced" and  
2 the reading system has automatically determined the  
3 word to comprise part of the phrase that is  
4 highlighted. The reading system then automatically  
5 shows the best translation of the highlighted phrase in  
6 translation window 902. By automatically determining a  
7 phrase that contains a user-selected word and then  
8 providing at least one translation for the phrase, the  
9 reader is provided with not only a translation of the  
10 word, but is provided a translated context in which the  
11 word is used. This is advantageous in that it gives  
12 the reader more translated information which, in turn,  
13 can facilitate their understanding of the material that  
14 they are reading.

15 Notice that the translation window 902 is located  
16 adjacent at least a portion of the highlighted text.  
17 By locating the translation window in this manner, the  
18 user is not required to divert their attention very far  
19 from the highlighted text in order to see the  
20 translated text. This is advantageous because it does  
21 not slow the user's reading process down an undesirable  
22 amount. Notice also that the translation window  
23 contains a drop down arrow 904 that can be used to  
24 expose other translated versions of the selected text.  
25 As an example, consider Fig. 10. There, translation  
window 902 has been dropped down to expose all  
translations of the highlighted phrase.

Fig. 11 shows a user interface 1100 having a  
translation window 1102. Here, the reading system



1 automatically detects that the word "generated" is not  
2 in a phrase and translates only the word "generated."  
3 The reading system can also provide multiple most  
4 likely translations in the translation window 1102.  
5 For example, three exemplary likely translations are  
6 shown. In the illustrated example, the displayed  
7 translations are context sensitive and are sorted  
8 according to context. Accordingly, in this example,  
9 the reading system can show only the top  $n$  translations  
10 of the word, rather than all of the possible  
11 translations of the word. Fig. 12 shows user interface  
12 1100 where all of the possible translations of the word  
13 "generated" are presented to the user in translation  
14 window 1102.

15 Fig. 13 shows a user interface 1300 having a  
16 translation window 1302 that illustrates one feature of  
17 the described embodiment. Specifically, the user can  
18 be given a choice as to whether they desire for an  
19 entire phrase containing a selected word to be  
20 translated, or whether they desire for only a selected  
21 word to be translated. In this example, the user has  
22 positioned their mouse in a manner that selects the  
23 word "advanced" for translation. Since the word  
24 "advanced" comprises part of a longer phrase, the  
25 reading system would automatically translate the phrase  
containing the selected word and then present the  
choices to the user as described above. In this case,  
however, the user has indicated to the reading system  
that they want only the selected word to be translated.



1 They can do this in any suitable way as by, for  
2 example, depressing the "Ctrl" key when making a word  
3 selection.

#### 4 Conclusion

5 The embodiments described above help a user read a  
6 non-native language and can permit users who are more  
7 comfortable communicating in a native language, to  
8 extensively read non-native language electronic  
9 documents quickly, conveniently, and in a manner that  
10 promotes focus and rapid assimilation of the subject  
11 matter. User convenience can be enhanced by providing  
12 a user interface with a translation window (containing  
13 the translated text) closely adjacent the text being  
14 translated. By positioning the translation window  
15 closely adjacent the translated text, the user's eyes  
16 are not required to move very far to ascertain the  
17 translated text. This, in turn, reduces user-  
18 perceptible distraction that might otherwise persist  
19 if, for example, the user were required to glance a  
20 distance away in order to view the translated text.  
21 User interaction is further enhanced, in some  
22 embodiments, by virtue of a mouse point translation  
23 process. A user is able, by positioning a mouse to  
24 select a portion of text, to quickly make their  
25 selection, whereupon the system automatically performs  
a translation and presents translated text to the user.

Although the invention has been described in  
language specific to structural features and/or



methodological steps, it is to be understood that the invention defined in the appended claims is not necessarily limited to the specific features or steps described. Rather, the specific features and steps are disclosed as preferred forms of implementing the claimed invention.

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